



Pat nt

## **DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor. I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Use of Unique ID's in a Distributed Environment to Make Changes to an MPEG-7

Description at the Run Time

the	spec	ifica	tion	of	whi	ch
			4011	~,	****	•

33年人	is attached hereto.		
X	was filed on (MM/DD/YYYY)	August 10, 2001	as
7	United States Application Number	09/927,421	

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

> I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)				Priorit <u>Claim</u>	
(Number)	(Country)	(Foreign F MM/DI	Filing Date - DYYYY)	Yes	No
(Number)	(Country)	(Foreign F MM/DI	Filing Date - D/YYYY)	Yes	No
(Number)	(Country)		filing Date - D/YYYY)	Yes	No
I hereby claim the benefit un provisional application(s) list		tates Code, Secti	on 119(e) of any U	Inited St	tates
(Application Number)	(Filing Date -	MM/DD/YYYY)			
(Application Number)	(Filing Date -	MM/DD/YYYY)			
I hereby claim the benefit un application(s) listed below as is not disclosed in the prior to of Title 35, United States Co known to me to be material to Section 1.56 which became or PCT international filing da	nd, insofar as the sub Jnited States applicat de, Section 112, I ac to patentability as def available between the	ject matter of eaction in the manner knowledge the du ined in Title 37, C e filing date of the	ch of the claims of r provided by the fi ity to disclose all in code of Federal Re	this app irst para iformation	elication graph on ns.
(Application Number)	(Filing Date – MM/	DD/YYYY) (St	atus – patented, pending, at	bandone	ed)
(Application Number)	(Filing Date – MM/	DD/YYYY) (St	atus – patented, pending, at	pandone	—— ∋d)
I hereby appoint the persons part of this document) as my substitution and revocation, and Trademark Office conne	respective patent at to prosecute this app	tornevs and pater	nt agents, with full i	power o	of
Send correspondence to _	Maria Sobrin	o, BL/	AKELY, SOKOLO	FF, TA	/LOR &
ZAFMAN LLP, 12400 Wilsh telephone calls to(Na	(Name of Attorney or ire Boulevard 7th F Maria Sobrino me of Attorney or Agent)	Agent)   loor, Los Angele , (408) 720	es, California 9002 -8300.	25 and	direct

I hereby declare that all statements mad herein of my own knowledg are true and that all stat ments mad on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor		Hawley K	. Rising	<u>                                     </u>
Inventor's Signature _	Fanly L	Post	_ Date _	11/16/200)
Residence	San Jose, CA	Citizen	ship	USA
	(City, State)			(Country)
Post Office Address _	ost Office Address 3294 Desertwood Lane, San Jose, CA 95132			e, CA 95132
				•
Full Name of Second/.	loint Inventor	Ali Tab	<u>atabai</u>	
Inventor's Signature _	A		_ Date _	11/16/01
Residence Cup	Per Control OR	950/ 4 Citizen	ship	USA
•	(City, State)	st Estate Do	(II)	CHANGE OF OCH
Post Office Address	10065	495 SW 155th AVE.	eaveno	T 08 97007



## **APPENDIX A**

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## APPENDIX B

## Titl 37, Code of Federal Regulations, S ction 1.56 Duty to Disclose Information Material to Patentability

- (a) A patent by its v ry nature is affected with a public inter st. Th public inter st is best served, and th most effective patent examination occurs when, at th time an application is b ing examin d, the Office is aware of and evaluat s th teachings of all information material to patentability. Each individual ass ciated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of each claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT int mational filing date of the continuation-in-part application.

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in <u>Use of Unique</u>

<u>ID's in a Distributed Environment to Make Changes to an MPEG-7 Description at Run Time</u> for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan and Sony Electronics Inc. a Delaware corporation with offices at 1 Sony Drive, Park Ridge, New Jersey 07656 (hereinafter referenced as ASSIGNEES) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEES, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEES, as the assignees of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEES or its designee, as ASSIGNEES or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEES thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

And I hereby authorize and request my attorney(s) of record in this application to insert the execution date, serial number and filing date of this application in the spaces that follow: Serial Number: 09/927,421, Filing Date: August 10, 2001.

This assignment executed on the dates indicated below.

Hawley K. Rising III	•
Name of first inventor	Execution date of U.S. Patent Application
3294 Desertwood Lane, San Jose, CA 95132 Residence of first or sole inventor	USA
Residence of first of sole inventor	11/14/2007
Signature of first or sole inventor	Date of this assignment
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complete Charles	before me, the undersigned notary public, personally appeared the above-named assignors, the person whose name is subscribed to the within instrument, and acknowledged execution
of this instrument.	Farancia and according to the second and according to the second according to



Name of second inventor

10495 SW 155<sup>th</sup> Avenue, Beaverton, OR 97007

Residence of second inventor

Signature of second inventor

STATE OF

On this day of day of

e HA M. P. D. ANDEZ
Commission - 1232/309
Notary Public - Callismia
Senta Clara County
My Comm. Express A. g. 15, 2000